

Form PTO-1449 (modified)

Atty. Docket No.  
10807.0139.NPUS01Serial No.  
10790,311

List of Patents and Publications for Applicant's

Applicant  
Thomas R. Berger et al.

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
March 3, 2004Group:  
UnassignedU.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
JEP	A1	1,174,656	3/1916	Beckwith			6/1915
	A2	1,459,713	6/1923	Beggs			4/1922
	A3	1,965,033	7/1934	Dillon			6/1931
	A4	2,004,737	6/1935	Tonks			5/1933
	A5	2,012,680	8/1935	Hammes			11/1930
	A6	2,044,563	6/1936	Carter			10/1931
	A7	2,044,564	6/1936	Carter			8/1933
	A8	2,225,171	12/1940	Hammes			11/1937
	A9	3,025,117	3/1962	Weiczorek	241	100.5	5/1960
	A10	3,589,624	6/1971	Smith	241	46.08	10/1968
	A11	3,804,341	4/1974	Guth	241	32.5	6/1972
	A12	3,862,720	1/1975	Guth	241	100.5	9/1973
	A13	3,875,462	4/1975	Keifer et al.	371	13 R	1/1973
	A14	4,128,210	12/1978	Brenner et al.	241	46 R	3/1977
	A15	4,134,555	1/1979	Rosselet	241	46 B	1/1977
	A16	4,573,642	3/1986	Spelber	241	46 B	5/1984
	A17	4,776,523	10/1988	Hurst	241	46 B	9/1987
	A18	4,917,311	4/1990	Yoshino et al.	241	36	9/1989
	A19	5,129,590	7/1992	Shinya	241	46.013	1/1991
	A20	5,340,036	8/1994	Riley	241	56	5/1993
	A21	5,533,681	7/1996	Riley	241	56	8/1994
	A22	6,007,006	12/1999	Engel et al.	241	46.014	7/1998
	A23	6,439,487	8/2002	Anderson et al.	241	46.013	3/2000

EXAMINER:

*Montaly*

DATE CONSIDERED:

3/1/06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.  
10807.0139.NPUS01Serial No.  
10/790,311

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March 3, 2004Group:  
UnassignedU.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
JYP	A24	6,481,652	11/2002	Strutz et al.	241	46.013	2/2001
JYP	A25	D436,496	1/2001	Anderson et al.	D7	375	3/2000

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JYP	C1	2 Photographs of Grinding Plate by Parkamatic available from about ____ (Top View and Bottom View), 2-pages.
	C2	2 Photographs of Grinding Plate by In-Sink-Erator available from about 1960 (Top View and Bottom View), 2-pages.
	C3	2 Photographs of Grinding Plate by In-Sink-Erator available from about 1968 (Top View and Bottom View), 2-pages.
	C4	2 Photographs of Grinding Plate by In-Sink-Erator available from about 1970 (Top View and Bottom View), 2-pages.
	C5	3 Photographs of Grinding Plate by Hush Master Waste King available from about ____ (2 Top Views and 1 Bottom View), 3-pages.
	C6	3 Photographs of Grinding Plate by Modern Air Cyclone Corp. available from about ____ (2 Top Views and 1 Bottom View), 3-pages.
	C7	1 Photograph of Grinding Mechanism for Waste King 300 manufactured by Anahiem available from about ____ (Cut-away Perspective View), 1-page.

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3/1/06

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)		Atty. Docket No. 10807.0139.NPUS01	Serial No. 10/790,311
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Thomas R. Berger et al.	
		Filing Date: March 3, 2004	Group: Unassigned
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 2	Other Art See Page 2	

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JYP	C8	1 Photograph of Grinding Mechanism for General Electric GE-290 available from about ____ (Cut-away Perspective View), 1-page.
	C9	3 Photographs of Grinding Mechanism for General Electric Model GFC 700Y Household Disposer available from about ____ (Perspective Top Views), 3-pages.
	C10	6 Photographs of Grinding Plate for General Electric Model GFC 700Y Household Disposer available from about ____ (Top View, Side View, and Detailed Side View), 6-pages.
	C11	1 Photograph of Grinding Mechanism for Monarch 810XL manufactured by Whiterock available from about ____ (Cut-away Perspective View), 1-page.
	C12	1 Photograph of Grinding Ring manufactured by Maison available from about ____ (Partial Perspective View), 1-page.

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/790,311
Filing Date	March 01, 2004
First Named Inventor	Thomas R. Berger
Art Unit	3725
Examiner Name	Unknown
Attorney Docket Number	021902-139US

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	7 <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
JYP		GB-604,516	07-06-1948	Eureka Vacuum Cleaner Co.		
JYP		GB-790,630	02-12-1958	General Electric Co.		

**Examiner  
Signature**

Date  
Considered

3/106

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/790,311		
		Filing Date	March 01, 2004		
		First Named Inventor	Thomas R. Berger		
		Art Unit	3725		
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	021902-139US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JYP		International Search Report for corresponding International Patent Application No. PCT/US2004/006416	

Examiner Signature		Date Considered	3/1/06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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